CASE RECORD FORMS: COLOR II TRIAL

A Randomized Clinical Trial Comparing Laparoscopic and Open Surgery for Rectal Cancer

The objective of the COLOR II trial is to compare locoregional recurrence after laparoscopic and open surgery for rectal cancer. For this purpose, patients with a tumor of the rectum are randomized into either for a laparoscopic or an open resection.

- Check inclusion and exclusion criteria
- Fill out informed consent form
- You can randomize your patients:

Internet www.color2.org (click "investigator" and login)

Fax +1 (902) 473 4375 (see randomization form)

- At randomization, please write down randomization number on case record and follow-up forms and fill out the first forms. Write name, patient ID and randomization number on the decoding list of patients.
- Please return completed forms to the coordinating center:

COLOR II Trial Manager
Dalhousie University Department of Surgery
QEII Health Sciences Centre
Victoria Building, Room 8 - 838
1276 South Park Street
Halifax, Nova Scotia
Canada B3H 2Y9

Fax: +1 (902) 473 4375

• Copies of the completed forms should be kept in the **Investigator Site File**.

INCLUSION CRITERIA

pregnancy

		<u>YES</u>	<u>NO</u>
•	solitary rectal cancer observed at colonoscopy or on barium enema X-ray		STOP
•	no evidence for distal metastases		STOP
•	distal border of the tumor within 15 cm of the anal verge at rigid rectoscopy or CT / MRI-scan (or under linea conjugate at lateral barium enema radiography)		STOP
•	suitable for elective surgical resection		STOP
			↑
	PLEASE FILL OUT AN EXCLUSION / NON-I	NCLUSION	I - FORI
E	(CLUSION CRITERIA (PRE-RANDOMIZATION)		
		<u>YES</u>	<u>NO</u>
•	T1 tumor treated by local excision	STOP	
•	T4 tumors	STOP	
•	T3 tumors with margins < 2mm to endopelvic fascia (by CTscan or MRI)	STOP	
•	malignancy other than adenocarcinoma at cytological / histological examination	STOP	
•	patients under 18 years of age	STOP	
•	signs of acute intestinal obstruction	STOP	
•	more than one colorectal tumor	STOP	
•	Familial Adenomatosis Polyposis Coli (FAP), Hereditary Non- Polyposis Colorectal Cancer (HNPCC), active Crohn's disease or active colitis ulcerosa	STOP	
•	scheduled need for other synchronous colon surgery	STOP	
•	preoperative indication of invasion of adjacent organs	STOP	
•	preoperative evidence of metastases (at least chest X-ray and ultrasonography of liver required to rule out metastases)	STOP	
•	other malignancies in medical history, except adequately treated basocellular carcinoma of the skin or in situ carcinoma of the cervix uteri	STOP	
•	absolute contraindication to general anesthesia or prolonged pneumoperitoneum, such as severe cardiovascular or respiratory disease (ASA class > III)	STOP	
•	pregnancy	STOP	

PLEASE FILL OUT AN EXCLUSION / NON-INCLUSION - FORM

RANDOMIZATION FORM: COLOR II TRIAL

Use only if internet randomization fails

Hospital / City	:					
Fax Number	:					
Location of tumor	:		0 – 5 cm from anal verge			
			5 – 10 cm from anal verge			
			10 – 15 cm from anal verge			
Pre-operative radiotherapy	:		yes			
			no			
Gender	:		male			
			female			
Date of birth	:		(dd-mm-yyyy)			
Doctor to whom reply fax has to be addressed:						
When completed, please fax this form to the coordinating center: Dalhousie University, Halifax, Canada						
Fax	(: +1 ((902)	473 4375			
Tel	: +1 (902) 4	173 7489			

Randomization number and randomized procedure will be returned by fax as soon as possible

Date: **COLOR II** Dept. of Surgery, QE II Health Sciences Centre Victoria Building, Room 8 - 838 Procedure: LAP / OPEN 1276 South Park Street, Halifax, Nova Scotia Rand nr: Canada B3H 2Y9 **Doctor:** Tel: +1 (902) 473 7489 Fax: +1 (902) 473 4375 Page 4 COLOR II Case Record Form Version 5, November 2009 **IDENTIFICATION** (no 1 - 6) If appropriate, please encircle correct figure, more than one can be encircled per question 1. hospital 2. randomization no. 3. date of birth (dd/mm/yyyy) 4. gender : 1 male 2 female 5. date of randomization (dd/mm/yyyy)

: 1 laparoscopic 2 open

: T _____ N ____ M ____

6.

6A.

randomized procedure

pre operative clinical TNM stage

COLOR II Date: Dept. of Surgery, QE II Health Sciences Centre Procedure: LAP / OPEN Victoria Building, Room 8 - 838 1276 South Park Street, Halifax, Nova Scotia Rand nr: Canada B3H 2Y9 Doctor: Tel: +1 (902) 473 7489 Fax: +1 (902) 473 4375 COLOR II Case Record Form Version 5, November 2009 Page 5 PREOPERATIVE PERIOD < 28 days prior to surgery (no 7 - 17) If appropriate, please encircle correct figure, more than one can be encircled per question 7. date of admission (dd/mm/yyyy) 8. ASA class 9. length cm 10. weight kg 11. no. of previous abd. operations 12. date of diagnosis (dd/mm/yyyy) 13. exact location of tumor cm (distal border from anal verge) determined by : 1 rectoscopy 2 colonoscopy 3 MRI 4 CT 14. proposed type of resection 1 Resection without TME (= Partial Mesorectal Excision) 2 Resection with TME with preservation of the anus 3 Resection with TME without preservation of the anus (APR) 15. previous radiotherapy of the pelvis : 0 no 1 yes 16. preoperative radiotherapy : 0 no

16b. Participation in Quality of Life substudy: 0 no

(if yes, please specify dose and duration)

(if yes, please specify dose and duration)

preoperative chemotherapy

16a.

1 yes

1 yes

1 yes

: 0 no

..... X Gy

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INTRAOPERATIVE PERIOD (no 18 - 39)

18.	name(s) of surgeon(s)	:	
18a.	experience (last year)	:	
19.	date of surgery (dd/mm/yyyy)	:	
20.	performed operative procedure	 : 1 resection without TME (=Partial ME) 2 resection with TME and preservation the anus 3 resection with TME without preservat of the anus (APR) 	
21.	please specify level of distal transactio (distance between distal transaction & den		
22.	ureter stent	: 0 no 1 yes	
23.	presence of fibrosis considered to be due to radiation	: 0 no 1 yes	
24.	macroscopic metastases	: 0 no1 liver2 peritoneal3 mesentery4 other :	
25.	macr. invasion adj. organs (if yes, please mention which organ)	: 0 no 1 yes please specify:	

- 26. Degree of pelvic autonomic nerve preservation:
 - 1. Total preservation of autonomic nervous system. (hypogastric and pelvic preservation procedure)
 - 2. Bilateral preservation of parasympathetic nerve system with complete removal of the sympathetic system. (bilateral pelvic preservation procedure)
 - 3. Unilateral preservation of parasympathetic nerve system with complete removal of the sympathetic system. (unilateral pelvic preservation procedure)

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27.	27. if appropriate, reason for incomplete preservation:						
			1. tumo	r invasion			
			2. other	r:			
28.	length of in	cision	:	cm			
29.	location of	incision	: 0 midlir	ne			
			1 transv	verse right			
			2 trans	verse left			
			3 Pfanr	nenstiehl			
			4 other:	:			
30.	anastomos	is	: 0 no (st	toma)			
			1 hand	sewn			
			2 circul	ar stapler; size :	mm		
			3 other				
31.	anastomos	is configuration	:				
	0	no (stoma)					
	1	end to end	□ ileostom	у	☐ transverse colostomy		
	2	end to side	☐ ileostom	у	☐ transverse colostomy		
	3	side to side	☐ ileostom	y 🗌 J-pouch	☐ transverse colostomy		
	4	side to end	☐ ileostom	y	☐ transverse colostomy		
31a.	Type of sta	pler used to transec	t the rectum				
	1	Roticulator	☐ blue	green	no. of firings		
	2	Curvature	☐ blue	☐ green	no. of firings		
	3	Lineair stapler	☐ blue	green	no. of firings		
	4	Other	☐ blue	green	no. of firings		
32.	blood loss		:	ml			
33.	skin to skin	time	:	minutes			

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34. complication(s) : 0 none

> 1 bleeding

2 fixation of the tumor

3 gastrointestinal perforation

4 adhesions

5 hypercapnia

6 anastomosis related problems

7 injury to ureter

8 nerve injury

9 perforation tumor

other:

35. wound protection

(if yes, please mention kind of)

: 0 no

> 1 please specify: yes

PLEASE FILL OUT NEXT QUESTIONS IN CASE OF A LAPAROSCOPIC PROCEDURE

36. laparoscopic operative steps inspection : 1

> 2 mobilization of bowel

3 ligation of main vessels

oral transection of bowel 4

5 aboral transection of bowel

6 resection of bowel

7 anastomosis

38. : 0 conversion no

> 1 yes

39. if appropriate, reason for conversion

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date (dd-mm-yyyy):

cause: readmission:

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2

3

non-opiates:

epidural:

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47. pain medication during first 3 days Please mention what kind of medication, quantity (mg) and, where appropriate, speed of pump (mg/ml per hour) Postoperative day 1 1 opiates: 2 non-opiates: 3 epidural: Postoperative day 2 1 opiates: 2 non-opiates: 3 epidural: Postoperative day 3 1 opiates:

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Please add this information form for the pathologist to the resected specimen

COLOR II TRIAL

A randomized clinical trial comparing laparoscopic and open surgery for rectal cancer

Dear colleague,

The COLOR II trial is a randomized clinical trial comparing laparoscopic and open surgery for rectal cancer. The primary endpoint is locoregional recurrence three years post-operatively. In order to assess relevant oncological parameters, the pathological examination of the specimen is of utmost importance. Below you'll find the items which will be recorded for the COLOR II trial. You are kindly asked to document these items in your examination report.

Please provide the following data on pathology

Completeness of resection

Complete Intact mesorectum with only minor irregularities of the mesorectal

surface up to the dissection level. No defect is deeper than 5 mm. No coning towards the distal margin of the specimen. Smooth

circumferential resection margin.

Nearly Complete Moderate bulk to the mesorectum, no visible muscularis propria,

moderate coning, irregular circumferential resection margin.

Incomplete Little bulk to the mesorectum with defects down onto muscularis

propria and/or very irregular circumferential resection margin,

coning.

- Size of tumor
- Distance of tumor from circumferential resection margin
- Distance of tumor from proximal resection margin
- Distance of tumor from distal resection margin
- If appropriate, position of tumor with respect to peritoneal deflection
- Type and differentiation of tumor
- Tumor tissue in surgical margins (i.e. radicality)
- Number of lymph nodes harvested
- Number of lymph nodes in proximal part of mesentery, that means all lymph nodes not along resected bowel (if none, please mention)
- If appropriate, nature of metastases
- If appropriate, synchronous colorectal pathology
- pTNM classification

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PATHOLOGY (no 48 - 60)

- 48. completeness of resection
 - complete = intact mesorectum with only minor irregularities of the mesorectal surface up to the dissection level. No defect is deeper than 5 mm. No coning towards the distal margin of the specimen. Smooth circumferential resection margin on slicing.
 - 2. nearly complete = the majority of the mesorectum has been removed, no visible muscularis propria, moderate coning, irregular circumferential resection margin.
 - 3. incomplete = mesorectum with defects down onto muscularis propria and/or very irregular circumferential resection margin, coning.

49.	size of tumor	:	X	cm
50.	distance from circumferential resection margin	:		cm
51.	distance from proximal resection margin	:		cm
52.	distance from distal resection margin	:		cm
53.	position of tumor with respect to peritoneal deflection	:		cm
54.	type and differentiation of tumor	:		
55.	tumor tissue in surgical margins	: 0	no	
		1	oral	
		2	aboral	
		3	circumfe	rential
		4	other:	
56.	no. of lymph nodes harvested	:		
57.	no. of lymph nodes in proximal part of mesentery	:		
	(all lymph nodes not along resected bowel)			
58.	if appropriate, nature of metastases	:		
59.	if appropriate, nature of synchronous colorectal patholo	ogy:		
60.	pTNM classification	: pT	N	М

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FOLLOW UP FORM FOR VISIT 1 YEAR AFTER SURGERY

If appropriate, please encircle correct figure, more than one can be encircled per question

61. 61A	date of visit (dd/mm/yyyy) pre operative clinical TNM stage	:	- Т		M
62.	recurrence	:	0	no	
	(if yes, please fill out <u>recurrence form</u>)		1	yes	
63.	complications	:	0	no	
			1	(which port	nernia, please specify location or incision)
			2		of bowel function, other than ileus
					ary incontinence
			4	sexual dys	
			5	fecal incon	
			6	other:	
63a.	Bowel obstruction	:	0	no	
			1	yes	☐ surgery ☐ conservative
	Cause of bowel obstructions	:	1	benign	
			2	malignant	
			3	unknown	
64.	postoperative adjuvant therapy	:	0	no	
			1	yes	please specify:
65.	re-admissions	:	0	no	
	(if yes, please fill out <u>event form</u>)		1	yes	
66.	re-interventions	:	0	no	
	(if yes please fill out <u>event form</u>)		1	yes	
66a.	Has ileostomy been reversed?			0 no	
			1	yes	
	If yes: Date: _				_(dd/mm/yy)
	Complications:				
66b.	Specify pre-operative chemotherapy:		-		
	Specify pre-operative radiotherapy:				

(66b not required if previously submitted)

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FOLLOW UP FORM FOR VISIT 2 YEARS AFTER SURGERY

67.	date of visit (dd/mm/yyyy)	:	_	
67A.	pre operative clinical TNM stage	:	Τ.	N M
68.	recurrence	:	0	no
	(if yes, please fill out <u>recurrence form</u>)		1	yes
69.	complications	:	0	no
			1	incisional hernia, please specify location
				(which port or incision)
			2	complaints of bowel function, other than ileus
			3	stress urinary incontinence
			4	sexual dysfunction
			5	fecal incontinence
			6	other:
69a.	Bowel obstruction	:	0	no
			1	yes surgery
				☐ conservative
	Cause of bowel obstructions	:	1	benign
			2	malignant
			3	unknown
70.	postoperative adjuvant therapy	:	0	no
			1	yes please specify:
71.	re-admissions	:	0	no
	(if yes, please fill out event form)		1	yes
72.	re-interventions	:	0	no
	(if yes, please fill out <u>event form</u>)		1	yes
72a.	Has ileostomy been reversed?		0	no
			1	yes
	If yes: Date:			(dd/mm/yy)
	Complications:			
72b.	Specify pre-operative chemotherapy: _			
	Specify pre-operative radiotherapy:			
		(72	2b r	not required if previously submitted)

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FOLLOW UP FORM FOR VISIT 3 YEARS AFTER SURGERY

73.	date of visit (dd/mm/yyyy) :		
73A.	pre operative clinical TNM stage : T _	N M	
74.	recurrence	: 0 no	
	(if yes, please fill out <u>recurrence form</u>)	1 yes	
75.	complications	: 0 no	
		1 incisional hernia, please spe	cify location
		(which port or incision)	
		2 complaints of bowel function,	other than ileus
		3 stress urinary incontinence	
		4 sexual dysfunction	
		5 fecal incontinence	
		6 other:	
75a.	Bowel obstruction	: 0 no	
		1 yes \square surgery	
		☐ conservative	e
	Cause of bowel obstructions	· 1 honian	
	Cause of bower obstructions	: 1 benign	
		2 malignant	
		3 unknown	
76.	colon examination	: 1 barium enema	
		2 colonoscopy	
		3 CT	
		4 MRI	
		5 other	
77.	result colon examination	: 0 normal	
		1 2 nd tumor specify:	
		2 stenosis specify:	
		3 other specify:	

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FOLLOW UP FORM FOR VISIT 3 YEARS AFTER SURGERY

78.	liver examination			ultrasonography CT	
			3	MRI	
			4	other specify:	
79.	thorax examination			X-ray	
				СТ	
				MRI	
			4	other specify:	
00			^		
80.	recurrence			no	
	(if yes, please fill out <u>recurrence form</u>)		1	yes	
81.	re-admissions	:	0	no	
	(if yes, please fill out event form)		1	yes	
	(, you, product can <u>exercise</u>)			,	
82.	re-interventions	:	0	no	
	(if yes, please fill out event form)		1	yes	
			_		
82a.	Has ileostomy been reversed?		0) no	
			1	l yes	
	If yes: Date: _			(dd/mm/yy)	
	Complications:				
82b.	Specify pre-operative chemotherapy:				
	Specify pre-operative radiotherapy:				
		82	2b	not required if previously submitted)	

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FOLLOW UP FORM FOR VISIT 4 YEARS AFTER SURGERY

If appropriate, please encircle correct figure, more than one can be encircled per question

83.	date of visit (dd/mm/yyyy)	:	_		
83A.	pre operative clinical TNM stage	:	Τ.	N	M
84.	recurrence	:	0	no	
	(if yes, please fill out <u>recurrence form</u>)		1	yes	
85.	complications	:	0	no	
			1	incisional h	nernia, please specify location
				(which port	or incision)
			2	complaints	of bowel function, other than ileus
			3	stress urin	ary incontinence
				sexual dys	
			5	fecal incon	tinence
			6	other:	
85a.	Bowel obstruction	:	0	no	
			1	yes	surgery
					conservative
	Cause of bowel obstructions	:	1	benign	
			2	malignan	1
			3	unknown	
86.	postoperative adjuvant therapy	:	0	no	
	, , , , ,		1	yes	please specify:
87.	re-admissions	:	0	no	
	(if yes, please fill out event form)		1	yes	
88.	re-interventions	:	0	no	
	(if yes, please fill out <u>event form</u>)		1	yes	
88a.	Has ileostomy been reversed?		0	no	
			1	yes	
	If yes: Date: _				_(dd/mm/yy)
	Complications:				
88b.	Specify pre-operative chemotherapy: _				
	Specify pre-operative radiotherapy:				
		, 0	٦ <i>L</i> .	4!	lif a variance linearly and the all

(88b not required if previously submitted)

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FOLLOW UP FORM FOR VISIT 5 YEARS AFTER SURGERY

89.	date of visit (dd/mm/yyyy)	:	_	
89A	pre operative clinical TNM	:	Τ.	N M
90.	recurrence	:	0	no
	(if yes, please fill out recurrence form)		1	yes
91.	complications	:	0	no
			1	incisional hernia, please specify location
				(which port or incision)
			2	complaints of bowel function, other than ileus
			3	stress urinary incontinence
			4	sexual dysfunction
			5	fecal incontinence
			6	other:
91a.	Bowel obstruction	:	0	no
			1	yes surgery
				☐ conservative
	Cause of bowel obstructions	:	1	benign
			2	malignant
			3	unknown
			4	
92.	postoperative adjuvant therapy	:	0	no
			1	yes please specify:
93.	re-admissions	:	0	no
	(please fill out <u>event form</u>)		1	yes
94.	re-interventions	:	0	no
	(please fill out <u>event form</u>)		1	yes
94a.	Has ileostomy been reversed?		0	no
			1	yes
	If yes: Date: _			(dd/mm/yy)
	Complications:			
94b.	Specify pre-operative chemotherapy:			
		(Q./	1h -	not required if previously submitted)

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(100b not required if previously submitted)

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FOLLOW UP FORM FOR VISIT 6 YEARS AFTER SURGERY

	opriate, please encircle correct figure, m					
				, ,		
95.	date of visit (dd/mm/yyyy)	:	_			
96.	recurrence	:	0	no		
	(if yes, please fill out <u>recurrence form</u>)		1	yes		
97.	complications	:	0	no		
			1	incisional hernia, please specify location (which port or incision)		
			2	complaints of bowel function, other than ileu		
			3	stress urinary incontinence		
				sexual dysfunction		
			5	fecal incontinence		
			6	other:		
69a.	Bowel obstruction	:	0	no		
			1	yes ☐ surgery ☐ conservative		
	Cause of bowel obstructions	:	1	benign		
			2	malignant		
			3	unknown		
98.	postoperative adjuvant therapy	:	0	no		
			1	yes please specify:		
99.	re-admissions	:	0	no		
	(if yes, please fill out event form)		1	yes		
100.	re-interventions	:	0) no		
	(if yes, please fill out <u>event form</u>)		1	1 yes		
100a.	Has ileostomy been reversed?		0) no		
			1	l yes		
	If yes: Date: _			(dd/mm/yy)		
	Complications:					
100b.	Specify pre-operative chemotherapy:					
	Specify pre-operative radiotherapy:					

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	ropriate, please encircle correct figure, m			
101.	date of visit (dd/mm/yyyy)	:	_	
102.	recurrence	:	0	no
	(if yes, please fill out <u>recurrence form</u>)		1	yes
103.	complications	:	0	no
			1	incisional hernia, please specify location (which port or incision)
			2	complaints of bowel function, other than ileu
			3	stress urinary incontinence
			4	sexual dysfunction
			5	fecal incontinence
			6	other:
69a.	Bowel obstruction	:	0	no
			1	yes
				☐ conservative
	Cause of bowel obstructions	:	1	benign
			2	nalignant
			3	s unknown
104.	postoperative adjuvant therapy	:	0	no
			1	yes please specify:
105.	re-admissions	:	0	no
	(if yes, please fill out event form)		1	yes
106.	re-interventions	:	0	O no
	(if yes, please fill out event form)		1	1 yes
106a.	Has ileostomy been reversed?		0) no
			1	1 yes
	If yes: Date: _			(dd/mm/yy)
	Complications:			
106b.	Specify pre-operative chemotherapy:			
	Specify pre-operative radiotherapy:			

Dept. of Surgery, QE II Health Sciences Centre Victoria Building, Room 8 - 838 1276 South Park Street, Halifax, Nova Scotia Canada B3H 2Y9

Tel: +1 (902) 473 7489 Fax: +1 (902) 473 4375 Date:

Procedure: LAP / OPEN

Rand nr: Doctor:

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RECURRENCE FORM

If appropriate, please encircle correct figure, more than one can be encircled per question

107. date of diagnosis of (re)recurrence (dd-mm-yyyy) :

108. number of recurrence (first, second, etc.)

109. nature of recurrence : 1 locoregional

2 liver metastasis3 lung metastasis

4 trocar wound recurrence

5 minilaparotomy wound recurrence

6 laparotomy wound recurrence

7 other:

110. date of cancer related death (dd-mm-yyyy)

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RECURRENCE FORM

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RECURRENCE FOLLOW UP FORM

In case of re-recurrence, please fill out a new recurrence form

- 111. treatment: (if appropriate, please describe type of procedure)
 - 0 no
 - 1 curative resection :
 - 2 palliative resection :
 - 3 other:
- 112. date of cancer related death (dd/mm/yyyy)

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Rand nr: Doctor:

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Procedure: LAP

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Rand nr: Doctor:

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FORM FOR EVENTS

Please don't forget to mention randomization number in upper right corner

113. Date of event (dd-mm-yyyy)

114. Sort event :

115. Date of death (dd-mm-yyyy)

116. Cause of death :

117. Cause of bowel obstruction : 0 no bowel obstruction

1 herniation2 strangulation

3 non malignant stenosis

4 other:

118. Other complication(s) : 0 no

1 incisional hernia

2 complaints of bowel function (other than ileus)

3 other:

119. Date of re-operation (dd-mm-yyyy)

120. Nature of re-operation :

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Canada B3H 2Y9 Tel: +1 (902) 473 7489 Fax: +1 (902) 473 4375 Date:

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